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#### PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: 076591

Takuro NISHIMURA, et al.

Appln. No.: 10/620,412

Group Art Unit: 1797

Confirmation No.: 9610

Examiner: Robert J. Popovics

Filed: July 17, 2003

For:

SOLUTION FILM-FORMING METHOD

#### **REQUEST FOR REFUND**

#### MAIL STOP 16

Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant hereby respectfully requests a refund in the amount of \$70.00. This refund is to be credited to Deposit Account No. 19-4880.

On October 31, 2007, a suspension of action after a request for RCE under § 1.114 was filed with Check No. 322051 for the required fee of \$130.00. On November 1, 2007, Deposit Account No. 19-4880 was charged \$70.00 for fee code 1463 in error. Attached is a copy of the Manual of Patent Examining Procedure § 1.103, R-80 where the paragraph stating the fee that is due is marked and a copy of 2007 Fee Schedule with the fee shown in the manual for 1.17(i) is \$130.00. Also, a copy of the Deposit Account Monthly Statement showing the charge to our account is enclosed. In view of these facts, Applicants respectfully requests a refund of \$70.00 be credited to Deposit Account No. 19-4880. Copies of the Deposit Account

REQUEST FOR REFUND U.S. Appln. No.: 10/620,412

Attorney Docket No.: Q76591

Monthly Statement showing the charge to our account, and cancelled check showing the fully paid fee are enclosed.

Respectfully submitted,

SUGHRUE MION, PLLC

Telephone: (202) 293-7060 Facsimile: (202) 293-7860

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Date: February 28, 2008

Bruce E. Kramer

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#### UNITED STATES PATENT AND TRADEMARK OFFICE

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PLEASE SEND REMITTANCES TO: U.S. Patent and Trademark Office P.O. Box 979065 St. Louis, MO 63197-9000

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DATE POSTED CONTROL DESCRIPTION CHARGES/ CREDITS DOCKET NO. BALANCE (Serial, Patent, TM, Order) CODE MO. DAY YR. 07 11979178 21641.75 338 9204 -50.00 1 07 10662948 1201 210.00 Q76525 21431.75 11 1 07 15539 11933449 Q104342 1011 310.00 21123.75 1 07 11 15540 11933449 Q104342 20611.75 1111 510.00 11 1 07 15541 11933449 1311 Q104342 210.00 20401.75 1 07 15542 11 11933449 Q104342 1202 50.00 20351.75 1 07 15549 11 11933449 Q104342 8021 40.00 20311.75 11 107 10776184 Q79839 1463 70.00 20241.75 107 15640 Q104194 11 11913316 1631 310.00 19931.75 07 15641 11 11913316 0104194 1632 510.00 19421.75 11 07 15642 11913316 0104194 1633 19211.75 210.00 1 07 16101 11 11913324 0104666 1631 310.00 18901.75 1 07 16102 11 11913324 0104666 1642 410.00 18491.75 1 07 16103 210.00 11 11913324 Q104666 1633 18281.75 1 07 16104 11 11913324 Q104666 1464 18151.75 130.00 11866773 11 07 16121 Q104267 1051 130.00 18021.75 1 07 16177 11913324 Q104666 8021 40.00 17981.75 11844735 1 07 16248 8021 40.00 17941.75 1 07 11 10565004 17891.75 Q92479 1202 50.00 11 1 07 12 REPLENISHMENT 9204 -100000.00 117891.75 11 1107 REPLENISHMENT 9204 -150000.00 13 267891.75 11 1 07 17 PCT/US07/79279 F224522 8007 -20.00 267911.75 16815 11 1 07 11585811 Q98011 2253 525.00 267386.75 11 1 07 Q80638 10807369 1201 210.00 267176.75 11 1 07 17090 GH0138/14272699 78308632 7003 100.00 267076.75 11 1107 17191 11060578 Q86366 1050.00 1253 266026.75 11 1 07 49 10369563 1801 810.00 A8536 265216.75 1 07 11 17357 11856095 Q103748 1462 400.00 264816.75 1 07 10401706 11 17376 460.00 Q73346 1252 264356.75 1 07 11 17377 10401706 1801 810.00 263546.75 Q73346 1 07 215 10620412 Q76591 1463 70.00 263476.75 11 1 07 61 10048212 Q68293 1801 810.00 262666.75 11 1107 234 10275698 Q72512 1463 70.00 262596.75 1 07 1253 11 17946 10928407 Q83369 1050.00 261546.75 1 07 261446.75 11 17990 77167898 S11824 7002 100.00 1107 11 17991 10846666 1801 Q80566 810.00 260636.75 AN AMOUNT SUFFICIENT TO OPENING BALANCE TOTAL CHARGES TOTAL CREDITS T CLOSING BALANCE COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT

MS020F (7/2007)

\*\*\* O.D. INDICATES OVERDRAWN

cient cause. The Office will not suspend action if a reply by applicant to an Office action is outstanding. Any petition for suspension of action under this paragraph must specify a period of suspension not exceeding six months. Any petition for suspension of action under this paragraph must also include:

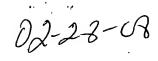
- (1) A showing of good and sufficient cause for suspension of action; and
- (2) The fee set forth in § 1.17(g), unless such cause is the fault of the Office.
- (b) Limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d). On request of the applicant, the Office may grant a suspension of action by the Office under this paragraph in a continued prosecution application filed under § 1.53(d) for a period not exceeding three months. Any request for suspension of action under this paragraph must be filed with the request for an application filed under § 1.53(d), specify the period of suspension, and include the processing fee set forth in § 1.17(i).
- (c) Limited suspension of action after a request for continued application (RCE) under § 1.114. On request of the applicant, the Office may grant a suspension of action by the Office under this paragraph after the filing of a request for continued examination in compliance with § 1.114 for a period not exceeding three months. Any request for suspension of action under this paragraph must be filed with the request for continued examination under § 1.114, specify the period of suspension, and include the processing fee set forth in § 1.17(i).
- (d) Deferral of examination. On request of the applicant, the Office may grant a deferral of examination under the conditions specified in this paragraph for a period not extending beyond three years from the earliest filing date for which a benefit is claimed under title 35, United States Code. A request for deferral of examination under this paragraph must include the publication fee set forth in § 1.18(d) and the processing fee set forth in § 1.17(i). A request for deferral of examination under this paragraph will not be granted unless:
- (1) The application is an original utility or plant application filed under § 1.53(b) or resulting from entry of an international application into the national stage after compliance with § 1.495;

- (2) The applicant has not filed a nonpublication request under § 1.213(a), or has filed a request under § 1.213(b) to rescind a previously filed nonpublication request;
- (3) The application is in condition for publication as provided in § 1.211(c); and
- (4) The Office has not issued either an Office action under 35 U.S.C. 132 or a notice of allowance under 35 U.S.C. 151.
- (e) Notice of suspension on initiative of the Office. The Office will notify applicant if the Office suspends action by the Office on an application on its own initiative.
- (f) Suspension of action for public safety or defense. The Office may suspend action by the Office by order of the Director if the following conditions are met:
- (1) The application is owned by the United States;
- (2) Publication of the invention may be detrimental to the public safety or defense; and
- (3) The appropriate department or agency requests such suspension.
- (g) Statutory invention registration. The Office will suspend action by the Office for the entire pendency of an application if the Office has accepted a request to publish a statutory invention registration in the application, except for purposes relating to patent interference proceedings under part 41, subpart D, of this title.

[24 FR 10332, Dec. 22, 11959; 33 FR 5624, Apr. 11, 1968; parus. (a) and (b), 47 FR 41276, Sept. 17, 1982, effective Oct. 1, 1982; para. (d), 49 FR 48416, Dec. 12. 1984, effective Feb. 11, 1985; para. (d), 50 FR 9381, Mar. 7, 1985, effective May 8, 1985; para. (a), 54 FR 6893, Feb. 15, 1989, effective Apr. 17, 1989; para. (a) revised, 60 FR 20195, Apr. 25, 1995, effective June 8, 1995; para. (a) revised, 62 FR 53131, Oct. 10, 1997, effective Dec. 1, 1997; revised, 65 FR 50092, Aug. 16, 2000, effective Aug. 16, 2000; paras. (d) through (f) redesignated as (e) through (g) and para. (d) added, 65 FR 57024, Sept. 20, 2000, effective Nov. 29, 2000; para. (d)(1) revised, 67 FR 520, Jan. 4, 2002, effective Apr. 1, 2002; para. (f) revised, 68 FR 14332, Mar. 25, 2003, effective May 1, 2003; para. (g) revised, 69 FR 49959, Aug. 12, 2004, effective Sept. 13, 2004; para. (a)(2) revised, 69 FR 56481, Sept. 21, 2004, effective Nov. 22, 2004]

1201/220		Independent claims in excess of three	210.00	105.0
1202/2202		Claims in excess of 20	50.00	25.0
1203/2203	1.16(j)	Multiple dependent claim	370.00	185.0
1051/2051	1.16(f)	Surcharge - Late filing fee, search fee, examination fee or oath or declaration	130.00	65.00
1081/2081	1.16(s)	Utility Application Size Fee - for each additional 50 sheets that exceeds 100 sheets	260.00	130.00
1012/2012	1.16(b)(1)	Basic filing fee - Design filed on or after December 8, 2004	210.00	105.00
1002/2002	1.16(b)(2)	Basic filing fee - Design filed before December 8, 2004	360.00	180.00
1017/2017	1.16(b)(1)	Basic filing fee - Design (CPA) filed on or after December 8, 2004	210.00	105.00
1007/2007	1.16(b)(2)	Basic filing fee - Design (CPA) filed before December 8, 2004	360.00	180.00
1082/2082	1.16(s)	Design Application Size Fee - for each additional 50 sheets that exceeds 100 sheets	260.00	130.00
1013/2013	1.16(c)(1)	Basic filing fee - Plant filed on or after December 8, 2004	210.00	105.00
1003/2003	1.16(c)(2)	Basic filing fee - Plant filed before December 8, 2004	570.00	285.00
1083/2083	1.16(s)	Plant Application Size Fee - for each additional 50 sheets that exceeds 100 sheets	260.00	130.00
1014/2014	1.16(e)(1)	Basic filing fee - Reissue filed on or after December 8, 2004	310.00	155.00
1004/2004	1.16(e)(2)	Basic filing fee - Relssue filed before December 8, 2004	810.00	405.00
1019/2019	1.16(e)(1)	Basic filing fee - Design Reissue (CPA) filed on or after December 8, 2004	310.00	155.00
1009/2009	1.16(e)(2)	Basic filing fee - Design Reissue (CPA) filed before December 8, 2004	810.00	405.00
204/2204	1.16(h)	Reissue independent claims in excess of three	210.00	105.00
205/2205	1.16(i)	Reissue claims in excess of 20	50.00	25.00
084/2084	1.16(s)	Reissue Application Size Fee - for each additional 50 sheets that exceeds 100 sheets	260.00	130.00
005/2005	1.16(d)	Provisional application filing fee	210.00	105.00
085/2085	1.16(s)	Provisional Application Size Fee - for each additional 50 sheets that exceeds 100 sheets	260.00	130.00
052/2052	1.16(g)	Surcharge - Late provisional filing fee or cover sheet	50.00	25.00
053	1.17(i)	Non-English specification	130.00	
† Th	e 4000 series fe	e code may be used via EFS-Web at http://www.uspto.gov/ebc/efs_	help.html	
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111/2111		Utility Search Fee	510.00	255.00
112/2112		Design Search Fee	100.00	50.00
113/2113	1.16(m)	Plant Search Fee	310.00	155.00





#### PATENT APPLICATION

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In re application of

Docket No: Q76591

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Group Art Unit: 1797

Confirmation No.: 9610

Examiner: Robert J. Popovics

Filed: July 17, 2003

For: SOLUTION FILM-FORMING METHOD

### REQUEST FOR SUSPENSION OF ACTION UNDER 37 C.F.R. § 1.103(c)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Concurrent with the filing of a Request for Continued Examination and an Amendment,
Applicants respectfully request a Suspension of Action for a period of three (3) months, as they
are considering supplementing their response to issues raised by the Examiner.

A check for the statutory fee of \$130.00 is attached. The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A

Adjustment date: 03/03/2008 HDESTA1 11/01/2007 JADDO1 00000129 194880 10620412 01 FC:1463 70.00 CR -130.00 DP

03/03/2008 HDESTA1 00000026 10620412

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